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Amdt. Trans.  
PATENT

Our File No.: A34258-PCT-USA  
Date: January 27, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Poopathy Kathirgamanathan

Serial No.: 09/830,897

Examiner: C. Thompson

Filed: May 2, 2001

Art Unit: 1774

For: NOVEL ELECTROLUMINESCENT MATERIALS

I hereby certify that this paper is being deposited with the United States Postal Service  
as first class mail in an envelope addressed to: Assistant Commissioner for Patents  
Washington, DC 20231

January 27, 2003

Date of Deposit

Marta E. Delsignore

Attorney Name

32,689

PTO Registration

*Marta E. Delsignore*  
Signature

January 27, 2003

Date of Signature

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

1. ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
2. ☐ Information Disclosure Statement
3. ☐ No additional fee is required.

The Fee has been calculated as shown below:

		Claims remaining after amend. (Col. 1)		Highest No. Prev. Paid for (Col. 2)		Present extra (Col. 3)	SMALL ENTITY			OTHER THAN A SMALL ENTITY				
							RATE		FEE	RATE		FEE		
Total	*	20	Minus **	20	=	0	X	9	=	0	X	18	=	0
Ind.	*	3	Minus ***	3	=	0	X	42	=	0	X	84	=	0
( ) First Presentation of Multiple Dependent Claims							+	140	=	0	+	280	=	0
TOTAL ADDITIONAL FEE:									0			TOTAL:		0

\* If the entry in Col 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. prev. paid for" in this space is less than 20, write "20" in this space.

\*\*\* If the "Highest No. prev. paid for" in this space is less than 3, write "3" in this space.

4.(a) [X] An Extension of Time to respond to the PTO communication dated September 24, 2002 is hereby requested. The required fee, indicated below, is enclosed herewith.

Extension for response (check only one):

	<u>SMALL ENTITY</u>		<u>OTHER THAN A SMALL ENTITY</u>	
Within first month	<input type="checkbox"/>	\$ 55	<input checked="" type="checkbox"/>	\$ 110
Within second month	<input type="checkbox"/>	205	<input type="checkbox"/>	410
Within third month	<input type="checkbox"/>	465	<input type="checkbox"/>	930
Within fourth month	<input type="checkbox"/>	725	<input type="checkbox"/>	1,450

(check and complete the next item, if applicable)

☐ An extension for has already been secured and the fee paid therefore of \$ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$110.

or

(b) ☐ In the event that an extension of time is required, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

5. ☐ Please charge our Deposit Account No. 50-1145 in the amount of \$ . Two copies of this sheet are enclosed.

6. [X] A check in the amount of \$110 is enclosed.
7. [X] The Commissioner is hereby authorized to charge payment of any additional filing fees are required under 37 CFR 1.16 and/or 37 CFR 1.117 associated with this communication or credit any overpayment to Deposit Account No. 50-1145. Two copies of this sheet are enclosed.

PITNEY, HARDIN, KIPP & SZUCH LLP

By: Marta E. Delsignore  
Marta E. Delsignore

PTO Registration No. 32,689

Pitney, Hardin, Kipp & Szuch LLP  
685 Third Avenue, 19<sup>th</sup> Floor  
New York, NY 10017  
(212) 297-5804  
Enclosures

9B  
2/26/03

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Serial No.: 09/830,897

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AMENDMENT

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January 27, 2003  
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Marta E. Delsignore  
Attorney Name

32,689  
PTO Registration No.

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Signature

January 27, 2003  
Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

In response to the Office Action mailed September 26, 2002 please amend the  
above-identified patent application as follows.

In the Specification

Page 6, please delete the first paragraph and substitute the following therefor.

--layer, the electroluminescent material layer and an electron injection layer  
which is connected to the cathode. The cathode can be any low work function metal e.g.  
aluminum, calcium, lithium, silver/magnesium alloys, etc.--

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